

Thirdwave Financial Intermediaries Limited

1st October, 2021

The Manager
Listing Department
BSE Limited
Phirozee Jeejeeboy Towers, Dalal Street
Fort, Mumbai - 400 001
Scrip Code : 531652

Respected Sir/Ma'am,

Sub : Compliance u/r 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 – details of voting results of 31st Annual General Meeting held on 30th September, 2021

The 31st Annual General Meeting of the company held on 30th September, 2021 at 12.30 pm through Video Conferencing (VC) / Other Audio Visual Means (OAVM) having deemed venue at 601, Ambuja Neotia Ecocentre, EM-4, EM Block, Sector V, Kolkata – 700 091.

In accordance with regulation 44(3) & 44(1) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 and section 108 of the Companies Act, 2013 read with relevant rules of the Companies (Management and Administration) Rule, 2014 as amended by the Companies (Management and Administration) Rules, 2015 the company has provided E-voting facility to facilitate all shareholders of the company to cast their votes electronically on all business proposed in the Notice of AGM. The E-voting commenced on 27th September, 2021 at 09.00 AM and concluded on 29th September, 2021 at 5.00 PM. The company further provided facilities for live voting through CDSL evoting platform during AGM for the shareholders who did not cast their vote through remote e-voting on the resolutions as per Notice of AGM.

Based on the report dt 30th September, 2021 submitted by Manoj Shaw & Co, Practicing Company Secretary, who was appointed by the company as scrutinizer for e-voting and live voting during AGM through CDSL evoting platform the Chairman of the AGM declares that all the resolutions contained in item nos. 1 to 5 of the Notice of AGM were approved with the requisite majority by the equity shareholders of the company.

Copy of Scrutinizer's Report alongwith requisite particulars of voting results as per regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 is enclosed herewith.

This is for your reference and record.

Thanking you.

Yours faithfully,

for THIRDWAVE FINANCIAL INTERMEDIARIES LTD.

Nidhi Jasrasanjani (Mem No - 40168)

Company Secretary & Compliance Officer

Encl : As above, Copy to NSDL/CDSL/NTPL(RTA)

Regd. Office : Unit - 601, Ambuja Neotia Ecocentre, EM-4, EM Block, Sector V, Kolkata - 700 091, West Bengal, India
Tel : 033-4603-9630 | www.twfil.com | investor.thirdwave@gmail.com | CIN:L15100WB1989PLC046886

31st Annual General Meeting (AGM) of Thirdwave Financial Intermediaries Ltd.

- details of voting results

Date of AGM	30 th September, 2021
Total number of shareholders on record date (being the cut-off date for determining shareholders entitled to vote)	440
No. of shareholders present in the meeting either in person or through proxy Promoters and Promoter Group: Public:	As the AGM was conducted on VC/OAVM personal presence/proxy were not applicable
No. of Shareholders attended the meeting through video conferencing Promoters and Promoter Group: Public	2 12

ORDINARY BUSINESS:

Item No.1- Ordinary Resolution

Adoption of the Directors' Report, Audited Statement of Profit & Loss, for the financial year ended 31st March, 2021 and the Balance Sheet as at that date and the Auditors' Report thereon:

	Remote e-voting		Voting at the AGM venue (Instapoll)		Consolidated voting results		
	Number of members who voted	Number of shares for which votes cast	Number of members who voted (in person or by proxy)	Number of shares for which votes cast	Total number of members who voted	Total number of shares for which votes cast	Percentage of votes to total number of valid votes cast
Voted in favour of the resolution	34	1008694	0	0	34	1008694	99.99
Voted against the resolution	1	2	0	0	1	2	0.01
Invalid votes	0	0	0	0	0	0	0
Total	35	1008696	0	0	35	1008696	100



Item No.2- Ordinary Resolution-

Appointment of a Director in place of Mrs Suman Agarwal, Director (DIN: 06955583), retiring by rotation:

	Remote e-voting		Voting at the AGM venue		Consolidated voting results		
	Number of members who voted	Number of shares for which votes cast	Number of members who voted (in person or by proxy)	Number of shares for which votes cast	Total number of members who voted	Total number of shares for which votes cast	Percentage of votes to total number of valid votes cast
Voted in favour of the resolution	34	1008694	0	0	34	1008694	99.99
Voted against the resolution	1	2	0	0	1	2	0.01
Invalid votes	0	0	0	0	0	0	0
Total	35	1008696	0	0	35	1008696	100

Item No.3- Ordinary Resolution:

Re-appointment of M/s J Gupta & Co. LLP, Chartered Accountants as Statutory Auditors of the Company

	Remote e-voting		Voting at the AGM venue		Consolidated voting results		
	Number of members who voted	Number of shares for which votes cast	Number of members who voted (in person or by proxy)	Number of shares for which votes cast	Total number of members who voted	Total number of shares for which votes cast	Percentage of votes to total number of valid votes cast
Voted in favour of the resolution	35	1008696	0	0	35	1008696	100
Voted against the resolution	0	0	0	0	0	0	0
Invalid votes	0	0	0	0	0	0	0
Total	35	1008696	0	0	35	1008696	100



SPECIAL BUSINESS:**Item No.4- Ordinary Resolution:**

Appointment of Mr Puneet Gupta as Non-Executive Independent Director of the Company

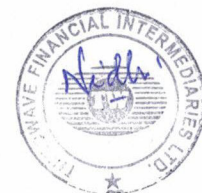
	Remote e-voting		Voting at the AGM venue		Consolidated voting results		
	Number of members who voted	Number of shares for which votes cast	Number of members who voted (in person or by proxy)	Number of shares for which votes cast	Total number of members who voted	Total number of shares for which votes cast	Percentage of votes to total number of valid votes cast
Voted in favour of the resolution	35	1008696	0	0	35	1008696	100
Voted against the resolution	0	0	0	0	0	0	0
Invalid votes	0	0	0	0	0	0	0
Total	35	1008696	0	0	35	1008696	100

Item No.5- Ordinary Resolution:

Approval of charges for service of documents to shareholders

	Remote e-voting		Voting at the AGM venue		Consolidated voting results		
	Number of members who voted	Number of shares for which votes cast	Number of members who voted (in person or by proxy)	Number of shares for which votes cast	Total number of members who voted	Total number of shares for which votes cast	Percentage of votes to total number of valid votes cast
Voted in favour of the resolution	33	1008494	0	0	33	1008494	99.98
Voted against the resolution	2	202	0	0	2	202	0.02
Invalid votes	0	0	0	0	0	0	0
Total	35	1008696	0	0	35	1008696	100

All resolutions, as set out in the Notice dated 26th August, 2021, were passed by the Members by requisite majority.





MANOJ SHAW & CO.
COMPANY SECRETARIES

"PODDAR COURT" 18, Rabindra Sarani
Gate no. 1, 3rd Floor, Room No. 331,
Kolkata - 700001

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E-mail : shawmanoj2003@gmail.com
shawmanoj2003@yahoo.co.in

SCRUTINIZER'S REPORT- COMBINED

[Pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 and subsequent amendments thereon]

To,

The Chairman
THIRDWAVE FINANCIAL INTERMEDIARIES LTD.
UNIT-601, AMBUJA NEOTIA ECOCENTRE,
EM-4, EM BLOCK, SECTOR V,
KOLKATA, WEST BENGAL- 700091

Combined Scrutinizer's Report on Remote E-Voting in terms of Section 108 of the Companies Act, 2013 ('the Act') read with Rule 20 of the Companies (Management and Administration) Rules, 2014 (and subsequent amendments thereon) and Voting through Electronic Voting System at the date of AGM ("Instapoll") at the Thirty First Annual General Meeting of Thirdwave Financial Intermediaries Ltd. held on 30th September, 2021 at 12:30 P.M. through Video Conferencing ("VC") / Other Audio Visual Means ("OAVM")(AGM)

I, Manoj Prasad Shaw, Company Secretary in Practice having membership no. FCS: 5517, CP: 4194, Proprietor of Manoj Shaw & Co. have been appointed by the Board of Directors of **THIRDWAVE FINANCIAL INTERMEDIARIES LTD.** ("the Company") as the Scrutinizer for the purpose of scrutinizing the Remote E-voting and Instapoll, made available to those shareholders who attended the AGM and did not cast their votes through Remote E-voting process, in a fair and transparent manner and ascertaining the requisite majority carried out, as per the provision of Section 108 of the Companies Act, 2013, read with Rule 20 of the Companies (Management and Administration) Rules, 2014, and subsequent amendments thereon and Regulation 44(1) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, at the 31st Annual General Meeting (AGM)

of the Company, in respect of the resolutions contained in the Notice convening the said AGM for approval of the members therein.

The Management of the Company is responsible to ensure the compliance with the requirements of the Companies Act, 2013 and Rules made thereon and SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015, and subsequent amendments thereon, relating to voting through electronic means on the resolutions contained in the Notice of the said AGM.

My responsibility as a Scrutinizer for the Instapoll and Remote E-voting process is restricted to ensure that the voting process is conducted in a fair and transparent manner and to make a Scrutinizer's Report for the votes cast "In Favour" or "Against" the resolutions as stated in the Notice of the said AGM, based on the report generated from the e-voting system provided by CDSL, the authorized Agency to provide e-voting facility, engaged by the Company.

In this regard, I submit my report as hereunder:-

1. The Company had provided facility of casting vote to the members of the Company through electronic means.
2. The Remote e-voting period remained open from Monday, 27th September, 2021 (09:00 a.m. IST) and ended on Wednesday, 29th September, 2021, (5:00 p.m. IST).
3. The members of the Company holding shares as on Cut-off date i.e. 23rd September, 2021 were entitled to vote on the Resolutions as set out in the Notice.
4. The Company had followed the process as required under Rule 20 of the Companies (Management and Administration) Rules 2014 and subsequent amendments thereon, in respect of providing voting through electronic means.
5. Fifteen minutes after the conclusion of the Thirty First AGM through VC / OAVM, I unblocked the votes cast through Instapoll and remote e-voting, in the presence of two witnesses who were not in employment of the Company and e-voting result/ list of equity shareholders who have voted "IN FAVOUR" and "AGAINST" were downloaded from the e-voting website of Central Depository Services (India) Limited (CDSL) i.e. website evotingindia.com.
6. The particulars of all the votes cast through e- voting process have been recorded in a register separately maintained for the purpose.

7. The combined results of voting i.e. remote e-voting and voting through electronic voting system (Instapoll), through e-voting services provided by CDSL is as hereunder:-

ORDINARY BUSINESS:

Item No.1- Ordinary Resolution

To receive, consider and adopt the Directors' Report, the Audited Statement of Profit & Loss for the financial year ended 31stMarch, 2021 and the Balance Sheet as at that date and the Auditors' Report thereon:

	Remote e-voting		E-voting at the AGM (Instapoll)		Consolidated voting results		
	Number of members who voted	Number of shares for which votes cast	Number of members who voted	Number of shares for which votes cast	Total number of members who voted	Total number of shares for which votes cast	Percentage of votes to total number of valid votes cast
Voted in favour of the resolution	34	1008694	0	0	34	1008694	99.99
Voted against the resolution	1	2	0	0	1	2	0.01
Invalid votes	0	0	0	0	0	0	0
Total	35	1008696	0	0	35	1008696	100

Item No.2- Ordinary Resolution

To appoint a director in place of Mrs Suman Agarwal, Director (DIN: 06955583), who retires by rotation and being eligible, offers herself for re-appointment:

	Remote e-voting		E-voting at the AGM (Instapoll)		Consolidated voting results		
	Number of members who voted	Number of shares for which votes cast	Number of members who voted	Number of shares for which votes cast	Total number of members who voted	Total number of shares for which votes cast	Percentage of votes to total number of valid votes cast
Voted in favour of the resolution	34	1008694	0	0	34	1008694	99.99
Voted against the resolution	1	2	0	0	1	2	0.01
Invalid votes	0	0	0	0	0	0	0
Total	35	1008696	0	0	35	1008696	100

Item No.3- Ordinary Resolution

Re-appointment of M/s J Gupta and Co. LLP, Chartered Accountants as Statutory Auditors of the Company:

	Remote e-voting		E-voting at the AGM (Instapoll)		Consolidated voting results		
	Number of members who voted	Number of shares for which votes cast	Number of members who voted	Number of shares for which votes cast	Total number of members who voted	Total number of shares for which votes cast	Percentage of votes to total number of valid votes cast
Voted in favour of the resolution	35	1008696	0	0	35	1008696	100
Voted against the resolution	0	0	0	0	0	0	0
Invalid votes	0	0	0	0	0	0	0
Total	35	1008696	0	0	35	1008696	100

SPECIAL BUSINESS:**Item No.4- Ordinary Resolution**

To appoint Mr. Puneet Gupta (DIN: 07597885) as an Independent Director of the Company:

	Remote e-voting		E-voting at the AGM (Instapoll)		Consolidated voting results		
	Number of members who voted	Number of shares for which votes cast	Number of members who voted	Number of shares for which votes cast	Total number of members who voted	Total number of shares for which votes cast	Percentage of votes to total number of valid votes cast
Voted in favour of the resolution	35	1008696	0	0	35	1008696	100
Voted against the resolution	0	0	0	0	0	0	0
Invalid votes	0	0	0	0	0	0	0
Total	35	1008696	0	0	35	1008696	100

Item No.5- Ordinary Resolution

Approval of charges for service of documents to shareholders:

	Remote e-voting		E-voting at the AGM (Instapoll)		Consolidated voting results		
	Number of members who voted	Number of shares for which votes cast	Number of members who voted	Number of shares for which votes cast	Total number of members who voted	Total number of shares for which votes cast	Percentage of votes to total number of valid votes cast
Voted in favour of the resolution	33	1008494	0	0	33	1008494	99.98
Voted against the resolution	2	202	0	0	2	202	0.02
Invalid votes	0	0	0	0	0	0	0
Total	35	1008696	0	0	35	1008696	100

All the relevant records were handed over to the Company Secretary of the Company as authorized by the Board of Directors in this behalf for safe keeping.

Yours faithfully,

Date: 30.09.2021

Place: Kolkata

MANOJ
PRASAD
SHAW

Digitally signed by
MANOJ PRASAD SHAW
Date: 2021.09.30
17:56:21 +05'30'

For Manoj Shaw & Co.
(Manoj Prasad Shaw)

(Scrutinizer)

(FCS-5517; CP-4194)

UDIN: F005517C001049221

Arit
karmakar

Digitally signed by
Arit karmakar
Date: 2021.09.30
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ABHISHEK
PAL

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ABHISHEK PAL
Date: 2021.09.30
17:57:30 +05'30'

WITNESS 1: _____

(ARITKARMAKAR)

WITNESS 2: _____

(ABHISHEK PAL)

Counter-signed by
FOR THIRDWAVE FINANCIAL INTERMEDIARIES LTD.


CHAIRMAN