

28th Annual General Meeting (AGM) of Thirdwave Financial Intermediaries Ltd. - details of voting results

Date of AGM	20 th September,2018
Total number of shareholders on record date:... (being the cut-off date for determining shareholders entitled to vote-	218
No. of shareholders present in the meeting either in person or through proxy: Promoters and Promoter Group: 3 Public: 35	38
No. of Shareholders attended the meeting through video conferencing: Promoters and Promoter Group: Public	Not Applicable Not Applicable

Agenda-wise disclosure

Item No. 1 Adoption of the Directors' Report, Audited Statement of Profit & Loss, for the financial year ended 31st March, 2018 and the Balance Sheet as at that date and the Auditors' Report thereon:

Resolution required : (Ordinary/ Special)			Ordinary						
Whether promoter / promoter group are interested in the agenda/resolution?			NOT APPLICABLE						
Category	Mode of Voting	No. of shares held(1)	No. of votes polled(2)	% of votes polled on outstandin g shares (3)=[(2)/(1)]*100	No. of Votes -in Favour (4)	No. of Votes- against (5)	% of Votes in favour on Votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100	TOTAL
Promoter and Promoter Group	E-voting	1007800	1007800	100.00	1007800	0	100.00	0.00	100.00
	Poll		0	0.00	0	0	0.00	0.00	0.00
	Postal Ballot(If applicabl e)		0	0.00	0	0	0.00	0.00	0.00
	Total		1007800	1007800	100.00	1007800	0	100.00	0.00
Public Institutions	E-voting	136200	0	0.00	0	0	0.00	0.00	100.00
	Poll		0	0.00	0	0	0.00	0.00	0.00
	Postal Ballot(If applicabl e)		0	0.00	0	0	0.00	0.00	0.00
	Total		136200	0	0.00	0	0	0.00	0.00
Public Non- Institutions	E-voting	1063600	0	0.00	0	0	0.00	0.00	0.00
	Poll		52	0.005	52	0	100.00	0.00	100.00
	Postal Ballot(If applicabl e)		0	0.00	0	0	0.00	0.00	0.00
	Total		1063600	52	0.005	52	0	100.00	0.00
Total		2207600	1007852	45.65	1007852	0	100.00	0.00	100.00

Item No. 2: Re-appointment of Mrs. Suman Agarwal as Director of the Company

Resolution required : (Ordinary/ Special)			Ordinary						
Whether promoter / promoter group are interested in the agenda/resolution?			NOT APPLICABLE						
Category	Mode of Voting	No. of shares held(1)	No. of votes polled(2)	% of votes polled on outstandin g shares (3)=[(2)/(1)]*100	No. of Votes -in Favour (4)	No. of Votes- against (5)	% of Votes in favour on Votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100	TOTAL
Promoter and Promoter Group	E-voting	1007800	1007800	100.00	1007800	0	100.00	0.00	100.00
	Poll		0	0.00	0	0	0.00	0.00	0.00
	Postal Ballot(If applicabl e)		0	0.00	0	0	0.00	0.00	0.00
	Total		1007800	1007800	100.00	1007800	0	100.00	0.00
Public Institutions	E-voting	136200	0	0.00	0	0	0.00	0.00	100.00
	Poll		0	0.00	0	0	0.00	0.00	0.00
	Postal Ballot(If applicabl e)		0	0.00	0	0	0.00	0.00	0.00
	Total		136200	0	0.00	0	0	0.00	0.00
Public Non- Institutions	E-voting	1063600	0	0.00	0	0	0.00	0.00	0.00
	Poll		52	0.005	52	0	100.00	0.00	100.00
	Postal Ballot(If applicabl e)		0	0.00	0	0	0.00	0.00	0.00
	Total		1063600	52	0.005	52	0	100.00	0.00
Total		2207600	1007852	45.65	1007852	0	100.00	0.00	100.00

Item No. 3: Ratify appointment of M/s. M. L. Kansal & Co. (FRN-312185E), Chartered Accountants, as Statutory Auditors of the Company

Resolution required : (Ordinary/ Special)		Ordinary							
Whether promoter / promoter group are interested in the agenda/resolution?		NOT APPLICABLE							
Category	Mode of Voting	No. of shares held(1)	No. of votes polled(2)	% of votes polled on outstandin g shares (3)=[(2)/(1)]*100	No. of Votes -in Favour (4)	No. of Votes- against (5)	% of Votes in favour on Votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100	TOTAL
Promoter and Promoter Group	E-voting	1007800	1007800	100.00	1007800	0	100.00	0.00	100.00
	Poll		0	0.00	0	0	0.00	0.00	0.00
	Postal Ballot(If applicabl e)		0	0.00	0	0	0.00	0.00	0.00
	Total	1007800	1007800	100.00	1007800	0	100.00	0.00	100.00
Public Institutions	E-voting	136200	0	0.00	0	0	0.00	0.00	100.00
	Poll		0	0.00	0	0	0.00	0.00	0.00
	Postal Ballot(If applicabl e)		0	0.00	0	0	0.00	0.00	0.00
	Total	136200	0	0.00	0	0	0.00	0.00	0.00
Public Non- Institutions	E-voting	1063600	0	0.00	0	0	0.00	0.00	0.00
	Poll		52	0.005	52	0	100.00	0.00	100.00
	Postal Ballot(If applicabl e)		0	0.00	0	0	0.00	0.00	0.00
	Total	1063600	52	0.005	52	0	100.00	0.00	100.00
Total		2207600	1007852	45.65	1007852	0	100.00	0.00	100.00

Item No. 4: Appointment of Mr Vinay Kumar Agarwal as Managing Director of the Company

Resolution required : (Ordinary/ Special)		Ordinary							
Whether promoter / promoter group are interested in the agenda/resolution?		YES							
Category	Mode of Voting	No. of shares held(1)	No. of votes polled(2)	% of votes polled on outstandin g shares (3)=[(2)/(1)]*100	No. of Votes -in Favour (4)	No. of Votes- against (5)	% of Votes in favour on Votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100	TOTAL
Promoter and Promoter Group	E-voting	1007800	1007800	100.00	1007800	0	100.00	0.00	100.00
	Poll		0	0.00	0	0	0.00	0.00	0.00
	Postal Ballot(If applicabl e)		0	0.00	0	0	0.00	0.00	0.00
	Total		1007800	1007800	100.00	1007800	0	100.00	0.00
Public Institutions	E-voting	136200	0	0.00	0	0	0.00	0.00	100.00
	Poll		0	0.00	0	0	0.00	0.00	0.00
	Postal Ballot(If applicabl e)		0	0.00	0	0	0.00	0.00	0.00
	Total		136200	0	0.00	0	0	0.00	0.00
Public Non- Institutions	E-voting	1063600	0	0.00	0	0	0.00	0.00	0.00
	Poll		52	0.005	52	0	100.00	0.00	100.00
	Postal Ballot(If applicabl e)		0	0.00	0	0	0.00	0.00	0.00
	Total		1063600	52	0.005	52	0	100.00	0.00
Total		2207600	1007852	45.65	1007852	0	100.00	0.00	100.00

All resolutions, as set out in the Notice dated 2nd August, 2018, were passed by the Members by requisite majority.