



## Thirdwave Financial Intermediaries Limited

**Date: 12<sup>th</sup> February, 2024**

To,  
The BSE Limited  
Corporate Service Department,  
Phiroze Jeejeebhoy Tower,  
Dalal Street, Mumbai - 400 001.

**Scrip Code: 531652**

**ISIN: INE528C01018**

**Sub: Outcome of Board Meeting:**

Dear Sir/Madam,

Pursuant to Regulation 30 & Regulation 33 of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, ("Listing Regulations"), as amended time to time, we wish to inform you that the Board of Directors of the Company at their meeting held today (i.e. 12<sup>th</sup> February, 2024), has, interalia, approved the Un-audited Financial Results of the Company for the quarter and nine months ended 31<sup>st</sup> December, 2023 as recommended by the Audit Committee.

Pursuant to the Listing Regulations, we are enclosing herewith the following:

- (a) Limited Review Report received from M/s. TDK & Co., Chartered Accountants, Statutory Auditors of the Company for the quarter and nine months ended 31<sup>st</sup> December, 2023.
- (b) Un-audited Financial Results for the quarter and nine months ended 31<sup>st</sup> December, 2023.

The meeting of the Board commenced at 2:20 p.m. and concluded at 3:25 p.m. The results will be published in the newspapers in terms of Regulation 47(1)(b) of SEBI (LODR) Regulations, 2015 in due course.

Kindly take the same on records.

Yours Faithfully,

For, **Thirdwave Financial Intermediaries Limited.**

Rashmi Chandrakant Vartak  
(DIN 10259700)  
Director

Enclosures: as above

Regd. Office Plot No. 62, Tower - II, 12th Floor, Salt Lake, Millennium City Information Technology Park,  
Sector- V, Block DN, Bidhannagar, Kolkata, West Bengal, 700064

Tel : 033-22150034/www.twfil.com/investor.thirdwave@gmail.com/CIN:L15100WB1989PLC046886

## THIRDWAVE FINANCIAL INTERMEDIARIES LIMITED

CIN: L15100WB1989PLC046886

Regd. Off.: Plot No 62 Tower II, 12th Floor, Millennium City Information Technology Park, Sector- V, Block DN, Kolkata Bidhan Nagar, North 24 Parganas, Saltlake, West Bengal, India, 700064

## STATEMENT OF UN- AUDITED FINANCIAL RESULTS FOR THE QUARTER AND HALF YEAR ENDED ON 31.12.23

(Amount in Lacs)

Sr. No.	Particulars	STANDALONE					
		3 months ended	Preceding 3 months ended	Corresponding 3 months ended in the previous year	9 month ended	Corresponding 9 months ended in the previous year	Previous year ended
		31.12.23 Un-Audited	30.09.2023 Un-Audited	31.12.22 Un-Audited	31.12.23 Un-Audited	31.12.2022 Un-Audited	31.03.2023 Audited
1	<b>Income</b>						
	(a) Revenue From Operations	0.00	0.00	2.15	0.00	82.64	136.89
	(b) Other Income	0.36	19.13	2.32	21.14	11.58	14.44
	<b>Total Income</b>	<b>0.36</b>	<b>19.13</b>	<b>4.47</b>	<b>21.14</b>	<b>94.22</b>	<b>151.33</b>
2	<b>Expenses</b>						
	(a) Cost of materials consumed	0.00	0.00	0.00	0.00	0.00	
	(b) Purchases of stock-in-trade	0.00	0.00	0.00	118.99	79.83	79.83
	(c) Changes in Inventories of finished goods, work-in-progress and stock-in-trade	-7.32	-24.91	2.10	-126.31	5.85	53.17
	(d) Employee Benefit Expenses	0.00	0.94	4.57	4.76	13.78	18.36
	(e) Finance Cost	0.00	0.00	0.00	0.52	0.00	0.00
	(f) Depreciation and amortisation expense	0.00	0.00	0.00	0.00	0.00	0.00
	(g) Directors Remuneration	0.00	0.00	0.00	0.00	0.00	0.00
	(h) Consultancy charges/ Professional Fees	0.00	0.00	0.00	0.00	0.00	0.00
	(i) Listing Fees	0.00	0.00	0.00	0.00	0.00	0.00
	(j) Commission	0.00	0.00	0.00	0.00	0.00	0.00
	(k) Travelling Expenses	0.00	0.00	0.00	0.00	0.00	0.00
	(l) Other expenses (Any item exceeding 10% of the total expenses relating to continuing operations to be shown separately)	0.62	1.47	1.10	7.37	6.62	8.62
	<b>Total Expenses</b>	<b>-6.70</b>	<b>-22.50</b>	<b>7.77</b>	<b>5.33</b>	<b>106.08</b>	<b>159.98</b>
3	<b>Profit / (Loss) before exceptional items and tax (1-2)</b>	<b>7.06</b>	<b>41.64</b>	<b>-3.30</b>	<b>15.81</b>	<b>-11.86</b>	<b>-8.65</b>
4	Exceptional Items	0.00	0.00	0.00	0.00	0.00	0.00
5	<b>Profit / (Loss) before tax (3-4)</b>	<b>7.06</b>	<b>41.64</b>	<b>-3.30</b>	<b>15.81</b>	<b>-11.86</b>	<b>-8.65</b>
6	<b>Tax Expenses</b>						
	(a) Current Tax						
	(b) Deferred Tax						
	(c) Income Tax						
7	<b>Total Tax Expenses</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
8	<b>Profit/ (Loss) for a period from continuing operations (5-7)</b>	<b>7.06</b>	<b>41.64</b>	<b>-3.30</b>	<b>15.81</b>	<b>-11.86</b>	<b>-8.65</b>
9	Profit/ (Loss) for a period from dis -continuing operations	0.00	0.00	0.00	0.00	0.00	0.00
10	Tax Expenses of discontinued operations	0.00	0.00	0.00	0.00	0.00	0.00
11	<b>Profit/ (Loss) for a period from dis -continuing operations (after tax) (9-10)</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
12	<b>Other Comprehensive Income/ (Loss)</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	A) (i) Amount of Items that will not be reclassified to profit or loss	0.00	0.00	0.00	0.00	0.00	0.00
	(ii) Income tax relating to Items that will not be reclassified to profit or loss	0.00	0.00	0.00	0.00	0.00	0.00
	B) (i) Amount of Items that will be reclassified to profit or loss	0.00	0.00	0.00	0.00	0.00	0.00
	(ii) Income tax relating to Items that will not be reclassified to profit or loss	0.00	0.00	0.00	0.00	0.00	0.00
13	<b>Total Comprehensive Income for the period (comprising profit/loss) and other comprehensive income for the period) (8-11-12)</b>	<b>7.06</b>	<b>41.64</b>	<b>-3.30</b>	<b>15.81</b>	<b>-11.86</b>	<b>-8.65</b>
	Paid -up Equity Share Capital (Face Value of Rs. 10/- each)	220.76	220.76	220.76	220.76	220.76	220.76
14	<b>Earning Per Share (For continuing operations)</b>						
	(a) Basic	0.32	1.89	-0.15	0.72	-0.54	-0.19
	(b) Diluted	0.32	1.89	-0.15	0.72	-0.54	-0.19

THIRDWAVE FINANCIAL INTERMEDIARIES LTD.

RASHMI CHANDRAKANT  
VARTAKDigitally signed by RASHMI  
CHANDRAKANT VARTAK  
Date: 2024.02.12 15:11:04 +05'30'

Authorised Signatory

Rashmi Chandrakant Vartak  
(PIN- 10259700)  
Director



# TDK & Co.

Chartered Accountants  
(An ISO 9001 : 2015 Certified Co.)

Mob. : +91 91520 02313

+91 99208 81296

E-mail : info@tdk.net.in

Website : www.tdk.net.in

## LIMITED REVIEW REPORT

**As per Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

To,  
**The Board of Directors,**  
**THIRDWAVE FINANCIAL INTERMEDIARIES LTD.**  
CIN: L15100WB1989PLC046886  
Kolkata, West Bengal, India, 700091.

We have reviewed the accompanying statement of Standalone Un-Audited Financial results of **THIRDWAVE FINANCIAL INTERMEDIARIES LTD.** for the quarter and nine months ended on **31<sup>st</sup> December 2023**. This statement is the responsibility of the Company's Management and has been approved by the Board of Directors. Our responsibility is to issue a report on these financial statements based on our review.

We conducted our review of the Statement in accordance with the Standard on Review Engagements (SRE) 2410 "Review of Interim Financial Information Performed by the Independent Auditor of the Entity", issued by the Institute of Chartered Accountants of India. This standard requires that we plan and perform the review to obtain moderate assurance as to whether the financial statements are free of material misstatement. A review is limited primarily to inquiries of company personnel and analytical procedures applied to financial data and thus provides less assurance than an audit. We have not performed an audit and accordingly, we do not express an audit opinion.

Based on our review conducted as above, nothing has come to our attention that causes us to believe that the accompanying statement of unaudited financial results prepared in accordance with applicable accounting standards and other recognized accounting practices and policies has not disclosed the information required to be disclosed in terms of Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 including the manner in which it is to be disclosed, or that it contains any material misstatement.

**For TDK & Co.,**  
**Chartered Accountants**  
**Firm Reg. No.: 109804W**

Neelanj  
Tilakcha  
nd shah  
Neelanj Shah  
Partner

**M. No.: 121057**

**UDIN: 24121057BKECMA3542**

**Place: Mumbai**

**Date: 12/02/2024**

