

**Format of holding of specified securities**

**Annexure - I**

**1 Name of Listed Entity : THIRDWAVE FINANCIAL INTERMEDIARIES LTD.**

2 Scrip Code/Name of Scrip/Class of Security :

3 Share Holding Pattern Filed under: Reg. 31(1) ( b ) : **Quarter Ended 30/06/2020**

4 Declaration:

	<b>Particulars</b>	<b>Yes</b>	<b>No</b>
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5 The tabular format for disclosure of holding of specified securities is as follows:-

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter Group	3	1007800	0	0	1007800	45.651	1007800	0	1007800	45.651	0	45.651	0	0.000	0	0.000	1007800
(B)	Public	265	1199800	0	0	1199800	54.349	1199800	0	1199800	54.349	0	54.349	0	0.000	0	0.000	735700
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	<b>TOTAL</b>	<b>268</b>	<b>2207600</b>	<b>0</b>		<b>2207600</b>	<b>100.000</b>	<b>2207600</b>	<b>0</b>	<b>2207600</b>	<b>100.000</b>	<b>0</b>	<b>100.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>1743500</b>

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a %	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Indian		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a)	Individuals/Hindu undivided Family		1	148910	0	0	148910	6.745	148910	0	148910	6.745	0	6.745	0	0.000	0	0.000	148910
	SIDDHANT AGARWAL	AUKPA5600Q	0	148910	0	0	148910	6.745	148910	0	148910	6.745	0	6.745	0	0.000	0	0.000	148910
(b)	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		2	858890	0	0	858890	38.906	858890	0	858890	38.906	0	38.906	0	0.000	0	0.000	858890
	PATRIACH DEVELOPERS PRIVATE LIMITED	AACCP7052Q	0	701890	0	0	701890	31.794	701890	0	701890	31.794	0	31.794	0	0.000	0	0.000	701890
	PRUDENT MANAGEMENT & INDUSTRIAL CONSULTA	AABCP9497Q	0	157000	0	0	157000	7.112	157000	0	157000	7.112	0	7.112	0	0.000	0	0.000	157000
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)		3	1007800	0	0	1007800	45.651	1007800	0	1007800	45.651	0	45.651	0	0.000	0	0.000	1007800
(2)	Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>		<b>3</b>	<b>1007800</b>	<b>0</b>	<b>0</b>	<b>1007800</b>	<b>45.651</b>	<b>1007800</b>	<b>0</b>	<b>1007800</b>	<b>45.651</b>	<b>0</b>	<b>45.651</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>1007800</b>

**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		1	136200	0	0	136200	6.170	136200	0	136200	6.170	0	6.170	0	0.000	0	0.000	0
	J M TRUSTEE COMPANY LTD		0	136200	0	0	136200	6.170	136200	0	136200	6.170	0	6.170	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)[1]		1	136200	0	0	136200	6.170	136200	0	136200	6.170	0	6.170	0	0.000	0	0.000	0
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)[2]		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		243	367182	0	0	367182	16.633	367182	0	367182	16.633	0	16.633	0	0.000	0	0.000	114282
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		6	315200	0	0	315200	14.278	315200	0	315200	14.278	0	14.278	0	0.000	0	0.000	250200
	MANAV AGARWAL	ASUPA3322P	0	30000	0	0	30000	1.359	30000	0	30000	1.359	0	1.359	0	0.000	0	0.000	30000
	MEGHNA SHAH		0	25000	0	0	25000	1.132	25000	0	25000	1.132	0	1.132	0	0.000	0	0.000	0
	NIRMAL KUMAR AGARWAL		0	40000	0	0	40000	1.812	40000	0	40000	1.812	0	1.812	0	0.000	0	0.000	0
	SANJAY KUMAR AGARWAL	ACIPA3412G	0	100000	0	0	100000	4.530	100000	0	100000	4.530	0	4.530	0	0.000	0	0.000	100000
	SAPNA AGARWAL	ACIPA3413H	0	99200	0	0	99200	4.494	99200	0	99200	4.494	0	4.494	0	0.000	0	0.000	99200
(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		7	362064	0	0	362064	16.401	362064	0	362064	16.401	0	16.401	0	0.000	0	0.000	352064
	HARIOM AGRO FOODS PRIVATE LIMITED	AACCH0148K	0	200000	0	0	200000	9.060	200000	0	200000	9.060	0	9.060	0	0.000	0	0.000	200000
	UTSAV INDUSTRIES PVT LTD	AAACU4372N	0	96383	0	0	96383	4.366	96383	0	96383	4.366	0	4.366	0	0.000	0	0.000	96383
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Non-Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Memembers		8	19154	0	0	19154	0.868	19154	0	19154	0.868	0	0.868	0	0.000	0	0.000	19154
	IEPF Authority		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)[3]		264	1063600	0	0	1063600	48.179	1063600	0	1063600	48.179	0	48.179	0	0.000	0	0.000	735700
	<b>Total Public Shareholding (B)=(B)[1)+(B)[2)+(B)[3]</b>		<b>265</b>	<b>1199800</b>	<b>0</b>	<b>0</b>	<b>1199800</b>	<b>54.349</b>	<b>1199800</b>	<b>0</b>	<b>1199800</b>	<b>54.349</b>	<b>0</b>	<b>54.349</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>735700</b>



