

Format of holding of specified securities

Annexure - I

1 Name of Listed Entity : THIRDWAVE FINANCIAL INTERMEDIARIES LTD.

2 Scrip Code/Name of Scrip/Class of Security :

3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 31/12/2021**

4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter Group	3	1007800	0	0	1007800	45.651	1007800	0	1007800	45.651	0	45.651	0	0.000	0	0.000	1007800
(B)	Public	481	1199800	0	0	1199800	54.349	1199800	0	1199800	54.349	0	54.349	0	0.000	0	0.000	836200
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	484	2207600	0		2207600	100.000	2207600	0	2207600	100.000	0	100.000	0	0.000	0	0.000	1844000

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
										No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
										Class x	Class y	Total								
(1)	Indian			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals/Hindu undivided Family			1	148910	0	0	148910	6.745	148910	0	148910	6.745	0	6.745	0	0.000	0	0.000	148910
	SIDDHANT AGARWAL	PROMOTER GR	AUKPA5600Q	0	148910	0	0	148910	6.745	148910	0	148910	6.745	0	6.745	0	0.000	0	0.000	148910
(b)	Central Government/State Government(s)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other Bodies Corporate			2	858890	0	0	858890	38.906	858890	0	858890	38.906	0	38.906	0	0.000	0	0.000	858890
	PATRIACH DEVELOPERS PRIVATE LIMITED	PROMOTER GR	AACP7052Q	0	701890	0	0	701890	31.794	701890	0	701890	31.794	0	31.794	0	0.000	0	0.000	701890
	PRUDENT MANAGEMENT & INDUSTRIAL CONSULTA	PROMOTER GR	AABCP9497Q	0	157000	0	0	157000	7.112	157000	0	157000	7.112	0	7.112	0	0.000	0	0.000	157000
	Trusts			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)			3	1007800	0	0	1007800	45.651	1007800	0	1007800	45.651	0	45.651	0	0.000	0	0.000	1007800
(2)	Foreign			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)			3	1007800	0	0	1007800	45.651	1007800	0	1007800	45.651	0	45.651	0	0.000	0	0.000	1007800

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholders (I)	PAN (II)	Nos. of shareholder s (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholdin g % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class x	Class y	Total								
(1) Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	0
(a) Mutual Funds		1	136200	0	0	136200	6.170	136200	0	136200	6.170	0	0.000	0	0.000	0	0.000	0
J M TRUSTEE COMPANY LTD		0	136200	0	0	136200	6.170	136200	0	136200	6.170	0	0.000	0	0.000	0	0.000	0
(b) Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c) Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e) Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f) Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g) Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h) Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Sub-Total (B)(1)		1	136200	0	0	136200	6.170	136200	0	136200	6.170	0	0.000	0	0.000	0	0.000	0
Central Government/State Government(s)/President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(3) Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a) Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
ij) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		465	401356	0	0	401356	18.181	401356	0	401356	18.181	0	0.000	0	0.000	0	0.000	208956
ij) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		6	320580	0	0	320580	14.522	320580	0	320580	14.522	0	0.000	0	0.000	0	0.000	295580
MANAV AGARWAL	ASUPA3322P	0	30000	0	0	30000	1.359	30000	0	30000	1.359	0	0.000	0	0.000	0	0.000	30000
MEGHNA SHAH		0	25000	0	0	25000	1.132	25000	0	25000	1.132	0	0.000	0	0.000	0	0.000	0
NIRMAL KUMAR AGARWAL	AIPPA6580N	0	40000	0	0	40000	1.812	40000	0	40000	1.812	0	0.000	0	0.000	0	0.000	40000
SANJAY KUMAR AGARWAL	ACIPA3412G	0	100000	0	0	100000	4.530	100000	0	100000	4.530	0	0.000	0	0.000	0	0.000	100000
SAPNA AGARWAL	ACIPA3413H	0	99200	0	0	99200	4.494	99200	0	99200	4.494	0	0.000	0	0.000	0	0.000	99200
SHIV BHAGWAN AGARWAL	ABHPA4563G	0	26380	0	0	26380	1.195	26380	0	26380	1.195	0	0.000	0	0.000	0	0.000	26380
(b) NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c) Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d) Overseas Depositors (holding DRs)/balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Bodies Corporate		6	340689	0	0	340689	15.433	340689	0	340689	15.433	0	0.000	0	0.000	0	0.000	330689
HARIOM AGRO FOODS PRIVATE LIMITED	AACCH0148K	0	200000	0	0	200000	9.060	200000	0	200000	9.060	0	0.000	0	0.000	0	0.000	200000
UTSAV INDUSTRIES PVT LTD	AAACLJ4372N	0	96383	0	0	96383	4.366	96383	0	96383	4.366	0	0.000	0	0.000	0	0.000	96383
QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
NRI - Repatriable		2	830	0	0	830	0.038	830	0	830	0.038	0	0.000	0	0.000	0	0.000	830
NRI - Non-Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Clearing Memebers		1	145	0	0	145	0.007	145	0	145	0.007	0	0.000	0	0.000	0	0.000	145
IEPF Authority		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Unclaimed Shares A/c		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Sub-Total (B)(3)		480	1063600	0	0	1063600	48.179	1063600	0	1063600	48.179	0	0.000	0	0.000	0	0.000	836200
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		481	1199800	0	0	1199800	54.349	1199800	0	1199800	54.349	0	0.000	0	0.000	0	0.000	836200

