

[Home](#)[Validate](#)

General information about company

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|--|---|
| Scrip code | 531652 |
| Name of the company | HIRDWAVE FINANCIAL INTERMEDIARIES LTD. |
| Whether company is SME | Yes |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-06-2017 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

[Home](#)[Validate](#)

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (XI) (a) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XIII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity Shares held in dematerialized form (XIV) |
|--------------|--------------------------------|----------------------------|--|--|---|---|---|---|-------------|-------------------|-------------------------|---|--|--|---|-----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| | | | | | | | | Class eg: X | Class eg: Y | Total | | | | | | | | | | |
| (A) | Promoter & Promoter Group | 3 | 1007800 | | | 1007800 | 45.65 | 10,07,800.00 | | 10,07,800.00 | 45.65 | | | 45.65 | | | | | 1007800 | |
| (B) | Public | 173 | 1199800 | | | 1199800 | 54.35 | 1199800.00 | | 11,99,800.00 | 54.35 | | | 54.35 | | | | | 735000 | |
| (C) | Non Promoter- Non Public | | | | | | | | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | | | | | | | | |
| | Total | 176 | 2207600 | | | 2207600 | 100 | 2207600.00 | | 2207600.00 | 100.00 | | | 100 | | | | | 1742800 | |

Home

Validate

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities(IX) | | | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of equity shares held in dematerialized form (XIV) |
|--|--|----------------------------|--|---|---|--|----------------|-------------------------------------|---|---|
| | | | | | | No of Voting (XIV)Rights | | Total as a % of Total Voting rights | | |
| | | | | | | Class eg: X | Total | | | |
| A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 1 | 148910 | 148910 | 6.75 | 148910.00 | 148910 | 6.75 | 6.75 | 148910 |
| (b) | Central Government/ State Government(s) | | | | | | | | | |
| (c) | Financial Institutions/ Banks | | | | | | | | | |
| (d) | Any Other (specify) | 2 | 858890 | 858890 | 38.91 | 858890.00 | 858890 | 38.91 | 38.91 | 858890 |
| Sub-Total (A)(1) | | 3 | 1007800 | 1007800 | 45.65 | 1007800.00 | 1007800 | 45.65 | 45.65 | 1007800 |
| (2) Foreign | | | | | | | | | | |
| (a) | Individuals (NonResident Individuals/ Foreign Individuals) | | | | | | | | | |
| (b) | Government | | | | | | | | | |
| (c) | Institutions | | | | | | | | | |
| (d) | Foreign Portfolio Investor | | | | | | | | | |
| (e) | Any Other (specify) | | | | | | | | | |
| Sub-Total (A)(2) | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 3 | 1007800 | 1007800 | 45.65 | 1007800.00 | 1007800 | 45.65 | 45.65 | 1007800 |
| Details of Shares which remain unclaimed for Promoter & Promoter Group | | | | | | | | | | |
| B Table III - Statement showing shareholding pattern of the Public shareholder Note : Kindly show details of shareholders having more than one percentage of total no of shares. Please refer software manual. | | | | | | | | | | |
| (1) Institutions | | | | | | | | | | |
| (a) | Mutual Funds | 1 | 136200 | 136200 | 6.17 | 136200 | 136200 | 6.17 | 6.17 | 0 |
| (b) | Venture Capital Funds | | | | | | | | | |
| (c) | Alternate Investment Funds | | | | | | | | | |
| (d) | Foreign Venture Capital Investors | | | | | | | | | |
| (e) | Foreign Portfolio Investors | | | | | | | | | |
| (f) | Financial Institutions/ Banks | | | | | | | | | |
| (g) | Insurance Companies | | | | | | | | | |
| (h) | Provident Funds/ Pension Funds | | | | | | | | | |
| (i) | Any Other (specify) | | | | | | | | | |
| Sub-Total (B)(1) | | 1 | 136200 | 136200 | 6.17 | 136200.00 | 136200 | 6.17 | 6.17 | 0 |
| (2) | Central Government/ State Government(s)/ President of India | | | | | | | | | |
| Sub-Total (B)(2) | | | | | | | | | | |
| (3) Non-institutions | | | | | | | | | | |
| (a(ii)) | Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 158 | 371569 | 371569 | 16.83 | 371569 | 371569 | 16.83 | 16.83 | 117969 |

| | | | | | | | | | | |
|---|---|-----|---------|---------|--------|------------------|---------|--------|--------|---------|
| (a)(i) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 5 | 294200 | 294200 | 13.33 | 294200 | 294200 | 13.33 | 13.33 | 229200 |
| (b) | NBFCs registered with RBI | | | | | | | | | |
| (c) | Employee Trusts | | | | | | | | | |
| (d) | Overseas Depositories (holding DRs) (balancing figure) | | | | | | | | | |
| (e) | Any Other (specify) | 9 | 397831 | 397831 | 18.02 | 397831 | 397831 | 18.02 | 18.02 | 387831 |
| Sub-Total (B)(3) | | 172 | 1063600 | 1063600 | 48.18 | 1063600.00 | 1063600 | 48.18 | 48.18 | 735000 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 173 | 1199800 | 1199800 | 54.35 | 1199800.00 | 1199800 | 54.35 | 54.35 | 735000 |
| Details of the shareholders acting as persons in Concert for Public | | | | | | | | | | |
| Details of Shares which remain unclaimed for Public | | | | | | | | | | |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | |
| (1) | Custodian/DR Holder - Name of DR Holders (If Available) | | | | | | | | | |
| (2) | Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) | | | | | | | | | |
| Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2) | | | | | | | | | | |
| Total (A+B+C2) | | 176 | 2207600 | 2207600 | 100.00 | 2207600.00 | 2207600 | 100.00 | 100.00 | 1742800 |
| Total (A+B+C) | | 176 | 2207600 | 2207600 | 100.00 | 2207600.00 | 2207600 | 100.00 | 100.00 | 1742800 |
| Disclosure of notes on shareholding pattern | | | | | | Add Notes | | | | |