

**32nd Annual General Meeting (AGM) of Thirdwave Financial Intermediaries Ltd.**

**- details of voting results**

<b>Date of AGM</b>	29 <sup>th</sup> September, 2022
<b>Total number of shareholders on record date</b> (being the cut-off date for determining shareholders entitled to vote)	556
<b>No. of shareholders present in the meeting either in person or through proxy</b> Promoters and Promoter Group: Public:	As the AGM was conducted on VC/OAVM personal presence/proxy were not applicable
<b>No. of Shareholders attended the meeting through video conferencing</b> Promoters and Promoter Group: Public	3 13

**ORDINARY BUSINESS:**

**Item No.1- Ordinary Resolution**

Adoption of the Directors' Report, Audited Statement of Profit & Loss, for the financial year ended 31st March, 2022 and the Balance Sheet as at that date and the Auditors' Report thereon:

	Remote e-voting		Voting at the AGM venue (Instapoll)		Consolidated voting results		
	Number of members who voted	Number of shares for which votes cast	Number of members who voted (in person or by proxy)	Number of shares for which votes cast	Total number of members who voted	Total number of shares for which votes cast	Percentage of votes to total number of valid votes cast
Voted in favour of the resolution	52	1008268	0	0	52	1008268	99.9993
Voted against the resolution	4	7	0	0	4	7	0.0007
Invalid votes	0	0	0	0	0	0	0
<b>Total</b>	<b>56</b>	<b>1008275</b>	<b>0</b>	<b>0</b>	<b>56</b>	<b>1008275</b>	<b>100</b>



**Item No.2- Ordinary Resolution-**

Appointment of a Director in place of Mrs Suman Agarwal, Director (DIN: 06955583), retiring by rotation:

	Remote e-voting		Voting at the AGM venue (Instapoll)		Consolidated voting results		
	Number of members who voted	Number of shares for which votes cast	Number of members who voted (in person or by proxy)	Number of shares for which votes cast	Total number of members who voted	Total number of shares for which votes cast	Percentage of votes to total number of valid votes cast
Voted in favour of the resolution	52	1008268	0	0	52	1008268	99.9993
Voted against the resolution	4	7	0	0	4	7	0.0007
Invalid votes	0	0	0	0	0	0	0
Total	56	1008275	0	0	56	1008275	100

All resolutions, as set out in the Notice dated 11<sup>th</sup> August, 2022, were passed by the Members by requisite majority.





**MANOJ SHAW & CO.**  
COMPANY SECRETARIES

"PODDAR COURT" 18, Rabindra Sarani  
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Kolkata - 700001

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**SCRUTINIZER'S REPORT- COMBINED**

[Pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 and subsequent amendments thereon]

To,

**The Chairman**  
**THIRDWAVE FINANCIAL INTERMEDIARIES LTD.**  
**UNIT-601, AMBUJA NEOTIA ECOCENTRE,**  
**EM-4, EM BLOCK, SECTOR V,**  
**KOLKATA, WEST BENGAL- 700091**

**Combined Scrutinizer's Report on Remote E-Voting in terms of Section 108 of the Companies Act, 2013 ('the Act') read with Rule 20 of the Companies (Management and Administration) Rules, 2014 (and subsequent amendments thereon) and Voting through Electronic Voting System at the date of AGM at the Thirty Second Annual General Meeting of Thirdwave Financial Intermediaries Ltd. held on 29<sup>th</sup> September, 2022 at 12:30 P.M. through Video Conferencing ("VC") / Other Audio Visual Means ("OAVM") (AGM)**

I, Manoj Prasad Shaw, Company Secretary in Practice having membership no. FCS: 5517, CP: 4194, Proprietor of Manoj Shaw & Co. have been appointed by the Board of Directors of **THIRDWAVE FINANCIAL INTERMEDIARIES LTD.** ("the Company") as the Scrutinizer for the purpose of scrutinizing the Remote E-voting and E-voting at the AGM, made available to those shareholders who attended the AGM and did not cast their votes through Remote E-voting process, in a fair and transparent manner and ascertaining the requisite majority carried out, as per the provision of Section 108 of the Companies Act, 2013, read with Rule 20 of the Companies (Management and Administration) Rules, 2014, and subsequent amendments thereon and Regulation 44(1) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, at the 32<sup>nd</sup> Annual General Meeting (AGM) of the Company, in respect of the resolutions contained in the Notice convening the said AGM for approval of the members therein.



The Management of the Company is responsible to ensure the compliance with the requirements of the Companies Act, 2013 and Rules made thereon and SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015, and subsequent amendments thereon, relating to voting through electronic means on the resolutions contained in the Notice of the said AGM.

My responsibility as a Scrutinizer for the E-voting and Remote E-voting process is restricted to ensure that the voting process is conducted in a fair and transparent manner and to make a Scrutinizer's Report for the votes cast "In Favour" or "Against" the resolutions as stated in the Notice of the said AGM, based on the report generated from the e-voting system provided by CDSL, the authorized Agency to provide e-voting facility, engaged by the Company.

In this regard, I submit my report as hereunder:-

1. The Company had provided facility of casting vote to the members of the Company through electronic means.
2. The Remote e-voting period remained open from Monday, 26th September, 2022 (09:00 a.m. IST) and ended on Wednesday, 28th September, 2022, (5:00 p.m. IST).
3. The members of the Company holding shares as on Cut-off date i.e. 22nd September, 2022 were entitled to vote on the Resolutions as set out in the Notice.
4. The Company had followed the process as required under Rule 20 of the Companies (Management and Administration) Rules 2014 and subsequent amendments thereon, in respect of providing voting through electronic means.
5. Fifteen minutes after the conclusion of the Thirty Second AGM through VC / OAVM, I unblocked the votes cast through e-voting, in the presence of two witnesses who were not in employment of the Company and e-voting result/ list of equity shareholders who have voted "IN FAVOUR" and "AGAINST" were downloaded from the e-voting website of Central Depository Services (India) Limited (CDSL) i.e. website evotingindia.com.
6. The particulars of all the votes cast through e- voting process have been recorded in a register separately maintained for the purpose.
7. The combined results of voting i.e. remote e-voting and voting through electronic voting system, through e-voting services provided by CDSL is as hereunder:-



**ORDINARY BUSINESS:**

**Item No.1- Ordinary Resolution**

Adoption of Statements of Accounts along with Auditors' Report and Directors' Report thereon for the Financial Year 2021-22:

	Remote e-voting		E-voting at the AGM		Consolidated voting results		
	Number of members who voted	Number of shares for which votes cast	Number of members who voted	Number of shares for which votes cast	Total number of members who voted	Total number of shares for which votes cast	Percentage of votes to total number of valid votes cast
Voted in favour of the resolution	52	1008268	0	0	52	1008268	99.9993
Voted against the resolution	4	7	0	0	4	7	0.0007
Invalid votes	0	0	0	0	0	0	0
<b>Total</b>	<b>56</b>	<b>1008275</b>	<b>0</b>	<b>0</b>	<b>56</b>	<b>1008275</b>	<b>100</b>

**Item No.2- Ordinary Resolution**

Re-appointment of Mrs. Suman Agarwal as Director of the Company:

	Remote e-voting		E-voting at the AGM		Consolidated voting results		
	Number of members who voted	Number of shares for which votes cast	Number of members who voted	Number of shares for which votes cast	Total number of members who voted	Total number of shares for which votes cast	Percentage of votes to total number of valid votes cast
Voted in favour of the resolution	52	1008268	0	0	52	1008268	99.9993
Voted against the resolution	4	7	0	0	4	7	0.0007
Invalid votes	0	0	0	0	0	0	0
<b>Total</b>	<b>56</b>	<b>1008275</b>	<b>0</b>	<b>0</b>	<b>56</b>	<b>1008275</b>	<b>100</b>



All the relevant records were handed over to the Company Secretary of the Company as authorized by the Board of Directors in this behalf for safe keeping.

Yours faithfully,

Date: 29.09.2022

Place: Kolkata



For Manoj Shaw & Co.

*Manoj Prasad Shaw*

(Manoj Prasad Shaw)

(Scrutinizer)

(FCS-5517; CP-4194)

UDIN: F005517D001077555

WITNESS 1: *Nikita Chokhani*  
(NIKITA CHOKHANI)

WITNESS 2: *Abhishek Pal*  
(ABHISHEK PAL)

Counter-signed by  
FOR THIRDWAVE FINANCIAL INTERMEDIARIES LTD.



CHAIRMAN